Docket No.

286963US0PCT

### IN THE UNITED STATES P

IN RE APPLICATION OF:

Peter ADLER, et al.

SERIAL NO:

10/581,458

GAU:

FILED:

June 1, 2006

**EXAMINER:** 

ADEMARK OFFICE

FOR:

DEVICE AND PROCESS FOR THE DEPOSITION OF ULTRAFINE PARTICLES FROM THE GAS PHASE

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

#### REFERENCES

The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed
references are attached, where required, as are either statements of relevancy or any readily available English
translations of pertinent portions of any non-English language references.

☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

#### RELATED CASES

Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.

☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

#### CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

#### DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND . P.C.

MAIER & NEUSTAD

orman F. Oblon

Charles A Wendel

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Customer Number

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OF

Form PTO 1449 U.S. DEPARTMENT OF COMMERCE			ATTY DOCKET NO. SERIAL NO.							
(Modified) PATENT AND TRADEMARK OFFICE			286963US0PCT	10/581,458						
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LIST OF REFERENCES CITED BY APPLICANTS				Peter ADLER, et al.						
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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB FILING DATE CLASS IF APPROPRIATE				
	AA	US 2004/0091630 A1	05/13/2004	SONNENSCHEIN						
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# LIST OF RELATED CASES CITED BY APPLICANT UNDER 37 CFR 1.56

Docket No.: 286963US0PCT

Serial No.: 10/581,458

Inventor: Peter ADLER, et al.

Filing Date: June 1, 2006

Group:

# LIST OF RELATED CASES

Examiner <u>Initial</u>	Docket No.	Serial or <u>Patent</u> <u>Number</u>	Filing or <u>Issue</u> <u>Date</u>	Patent App. <u>Publication No.</u>	Inventor or Applicant	
	288789US0PCT	10/587,399	07/27/06		POEPKEN, et al	
	286963US0PCT*	10/581,458	06/01/06		ADLER, et al.	
	235969US0	10/663,789	09/17/03	US2004/0091630 A1	SONNENSCHEIN	

Examiner

Date Considered